

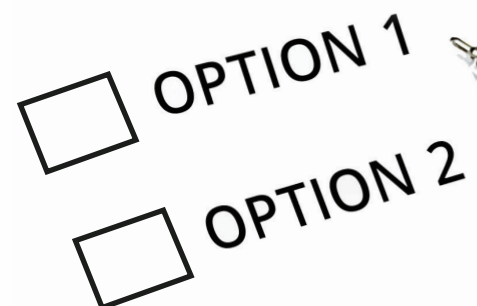


TUTORIAL 30

How to Prepare the Data Management Plan

The FOA states that “if you do not include a DMP with your application, **Option 1 for the DMP will be assumed for your application.**”

According to the FOA one of the attachments that must be submitted with a DOE Phase I SBIR/STTR application is a **Data Management Plan**, also referred to as a DMP. This requirement applies to all SBIR/STTR proposals submitted in response to an SBIR/STTR Funding Opportunity Announcement (FOA). What makes this requirement confusing is that the applicant also has the option to NOT submit a DMP. In that case, the system will assume that Option 1 has been selected.





Let’s discuss the two options presented in the FOA and the data in question. During the course of conducting your research – data will be generated. The data are likely to be in electronic format and are therefore referred to as **digital data**. Another term used to describe data produced while conducting research funded by an SBIR/STTR award is **SBIR/STTR data**. This is technical data or computer data produced while conducting research funded by the SBIR/STTR award. With SBIR/STTR research two options exist with respect to dissemination of digital data: Option (1) none of the data will be publically disseminated, or Option (2) some of the data will be disseminated through peer reviewed publications.

Digital data and SBIR/STTR data are NOT synonyms. SBIR/STTR data is technical data produced while conducting research funded by an SBIR/STTR award.

According to the DOE FOA, “In cases where none of the data generated as a result of the grant will be shared, a sufficient DMP will include the following statement, “It is anticipated that all generated digital data will be protected as SBIR/STTR data and therefore will not be publicly shared during the applicable SBIR/

STTR data protection period. If any data generated under this award are published, an effort will be made to also release any related digital data that is not protected SBIR/STTR data.” To learn more about SBIR/STTR data – be sure to watch the brief video on [SBIR/STTR Data Rights](#). Please note that if you do not include a DMP with your application, Option 1 for the DMP will be assumed for your application. In other words, it will be assumed that you will not disclose any of your SBIR/STTR data. In this case do NOT include any costs for publications in your budget.

However, if you plan to disclose your digital data, then it is appropriate to select Option 2 and submit a Digital Data Management Plan. Detailed guidelines are included in the FOA on How to Write a Digital Data Management Plan. Be sure to refer to those guidelines if you plan to disclose your digital data.